

Attorney Docket # 5367-155PUS

Express Mail #EV582747175US

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of
Johannes BAUR et al.
Int'l PCT Serial No.: PCT/DE2003/003147
Int'l Filing Date:: 22 September 2003
For: Radiation-Emitting Semiconductor
Component

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO SB/08A.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

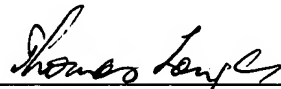
Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

JC06 Rec'd PTO 23 MAR 2005

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By 
Thomas Langer
Reg. No. 27,264
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

Dated: March 23, 2005

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/528852
		Filing Date	
		First Named Inventor	Johannes BAUR
		Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	5367-155PUS	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind-Code ² (if known)			
		US-2002/081800 A1	06/27/2002	Morita	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ Kind-Code ⁵ (if known)				
		WO 01/47038 A	06/28/2001	Lumileds Lighting LLC		
		EP 0 607 435 A	07/27/1994	Asahi Chemical Ind.		
		JP 11 220168 A	08/10/1999	Toyoda Gosei Co, Ltd.		
		JP 11 150302 A	06/02/1999	Nichia Chem Ind. Ltd.		
		DE 100 17 336 A	10/18/2001	Vishay Semiconductor		
		WO 01/47039 A	06/28/2001	Lumileds Lighting LLC		
		EP 1 168 460 A	01/02/2002	Toshiba Electric Co.		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Minamino et al., "Amorphous Si solar cell on ceramic substrate", IEEE Photovoltaic Specialists Conf., Bd. Vol. 1, pp. 229-234, May 1, 1984	
Examiner Signature			Date Considered

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Johannes BAUR et al.
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Check box if applicable:
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DE 692 30 260 corresponds to USP 5,602,418.

DE 199 27 945 corresponds to USP 6,291,839.

DE 100 26 254 corresponds to US Published appln. no. 2004/0056254.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

10/528852

JC06 Rec'd T/PTO 23 MAR 2005

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: *Thomas Langer*
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Dated: March 23, 2005

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		Number-Kind-Code ² (if known)			
		US-2004/0056254 A1	03/25/2004	Bader et al.	
		US-6,291,839 B1	09/18/2001	Lester	
		US-5,602,418	02/11/1997	Imai et al.	
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		Country Code ³ Number ⁴ Kind-Code ⁵ (if known)				
		DE 692 30 260 T2	11/03/1999	Imai		Abstract
		DE 199 27 945 A1	03/23/2000	Lester		Abstract
		DE 100 26 254 A1	11/08/2001	Mundbrod-Vangerow		Abstract
		EP 0 064 777 A1	03/24/1982	Dirks		

Examiner Signature		Date Considered	
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I. Schnitzer, et al., "30% external quantum efficiency from surface textured, thin-film light-emitting diodes", Appl. Phys. Lett 63 (16), pp. 2174-2176, October 18, 1993

Date Considered

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